



POLYTECH®
PARIS-SUD

Cycle Ingénieur – 2^{ème} année

Département Informatique

Verification and Validation

Part III : Formal Specification

with UML/MOAL

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Plan of the Chapter

- Syntax & Semantics of our own language

MOAL

- mathematical
- object-oriented
- UML-annotation
- language

(conceived as the „essence“ of annotation languages like OCL, JML, Spec#, ACSL, ...)

Plan of the Chapter

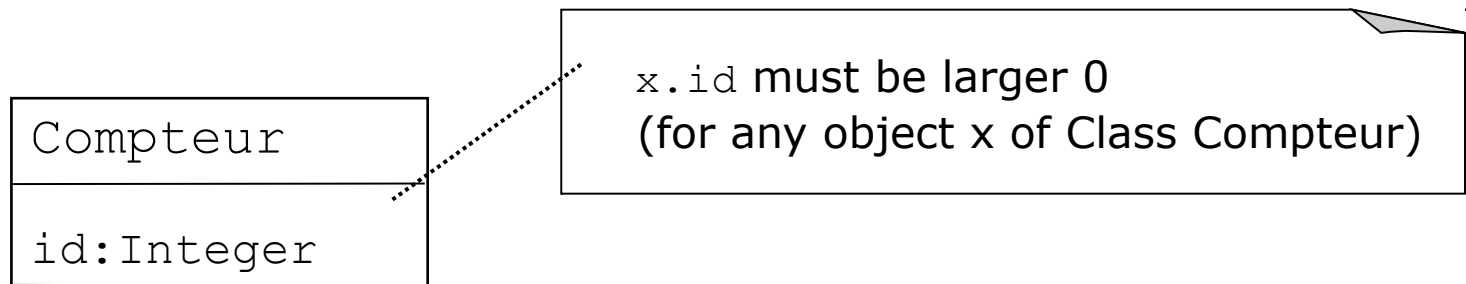
- ❑ Concepts of MOAL
 - Basis: Logic and Set-theory
 - MOAL is a Typed Language
 - Basic Types, Sets, Pairs and Lists
 - Object Types from UML
 - Navigation along UML attributes and associations
(Idea from OCL and JML)
- ❑ Purpose :
 - Class Invariants
 - Method Contracts with Pre- and Post-Conditions
 - Annotated Sequence Diagrams for Scenarios, ...

Plan of the Chapter

- ❑ *Ultimate Goal:*
Specify system components to improve analysis, design, test and verification activities
- ❑ ... understanding how some analysis tools work ...
- ❑ ... understanding key concepts such as class invariants and contracts for analysis and design

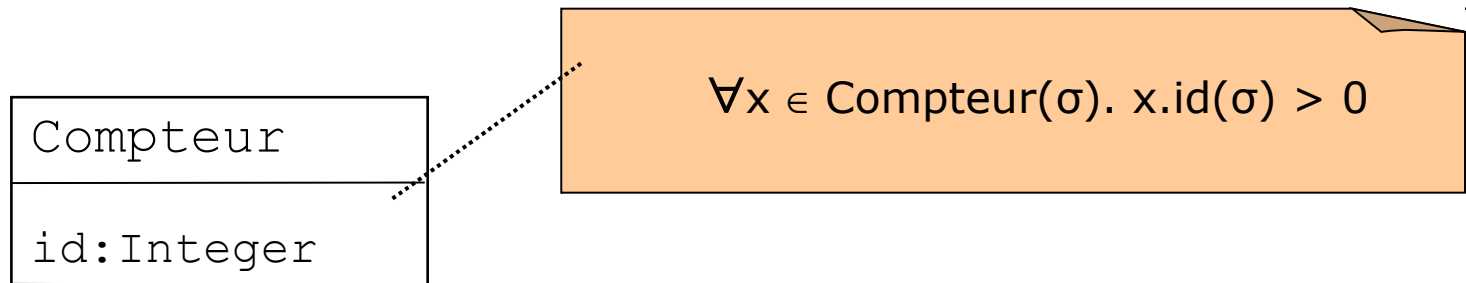
Motivation: Why Logical Annotations

- More precision needed (like JML, VCC) that constrains an underlying **state σ**



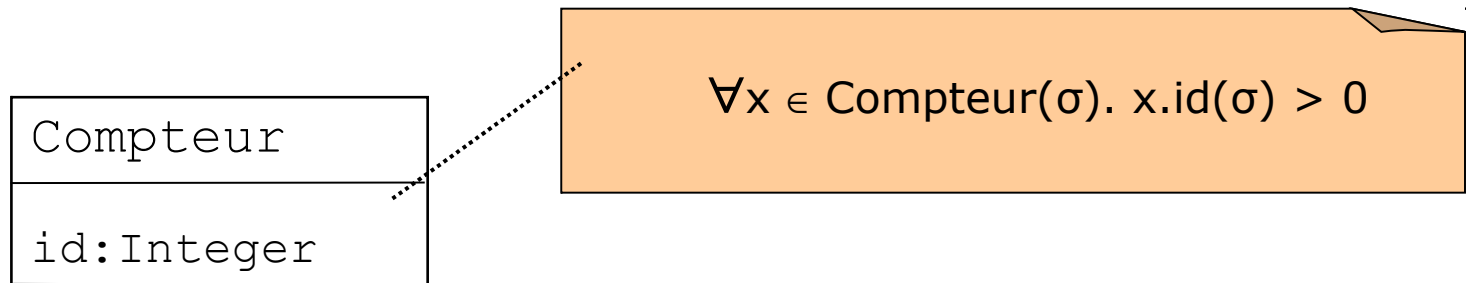
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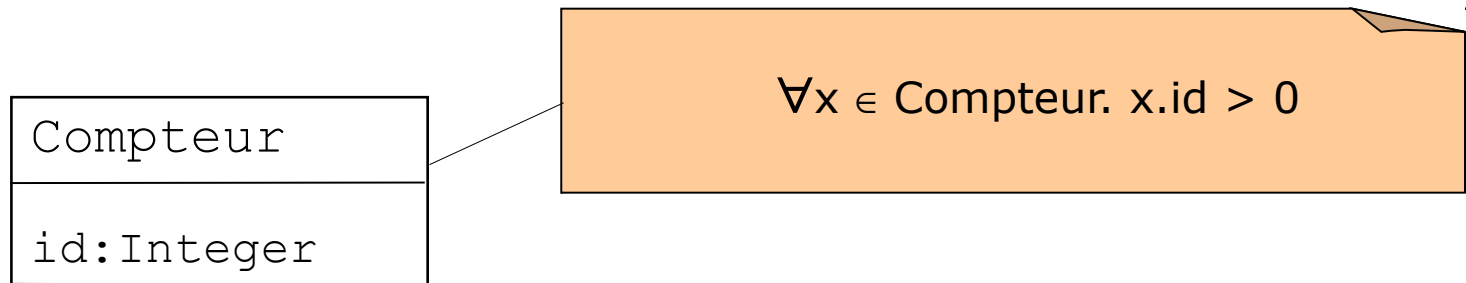
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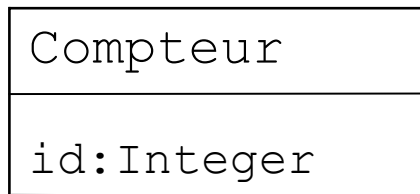
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... by abbreviation convention if no confusion arises.

Motivation: Why Logical Annotations

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definition $\text{inv}_{\text{Compteur}}(\sigma) \equiv \forall x \in \text{Compteur}(\sigma). x.\text{id}(\sigma) > 0$

... or by convention

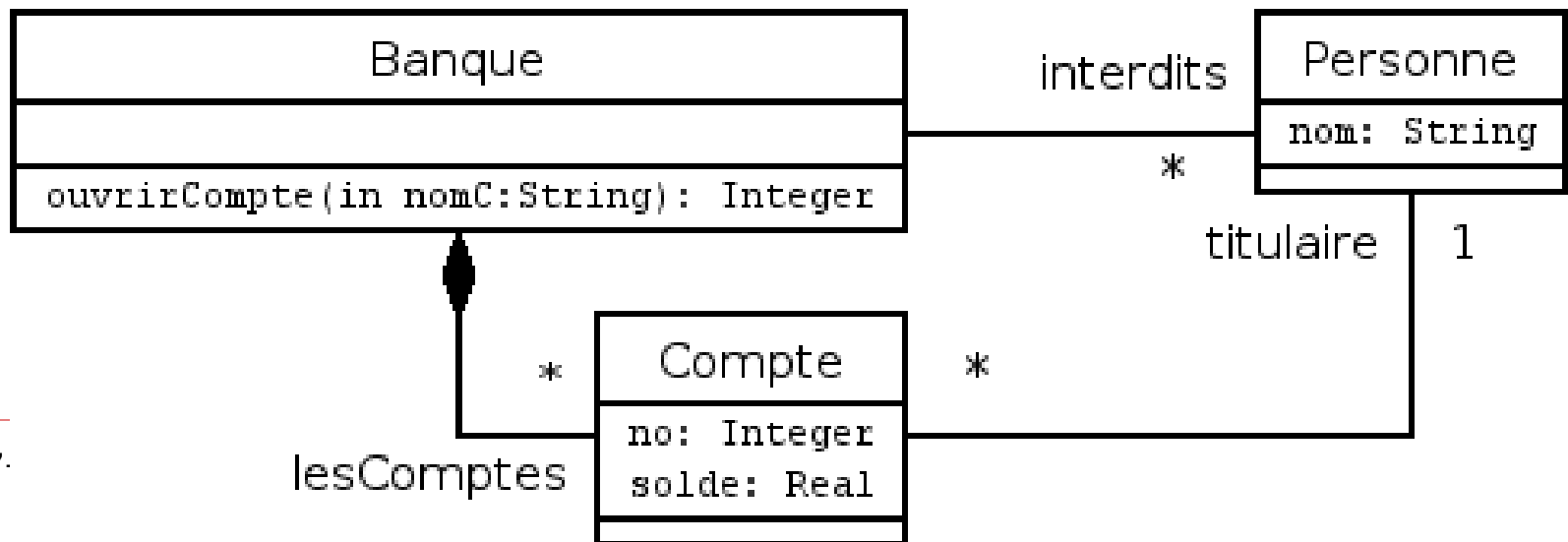
definition $\text{inv}_{\text{Compteur}} \equiv \forall x \in \text{Compteur}. x.\text{id} > 0$

... or as mathematical definition in a separate document or text ...

A first Glance to an Example: Bank

Opening a bank account. Constraints:

- ❑ there is a blacklist
- ❑ no more overdraft than 200 EUR
- ❑ there is a present of 15 euros in the initial account
- ❑ account numbers must be distinct.



A first Glance to an Example: Bank (2)

- **definition** $\text{unique} \equiv \text{isUnique}(.no) (\text{Compte})$
- definition** $\text{noOverdraft} \equiv \forall c \in \text{Compte}. c.id \geq -200$
- definition** $\text{pre}_{\text{ouvrirCompte}}(b:\text{Banque}, \text{nomC}:\text{String}) \equiv$
 $\forall p \in \text{Personne}. p.nom \neq \text{nomC}$
- definition** $\text{post}_{\text{ouvrirCompte}}(b:\text{Banque}, \text{nomC}:\text{String}, r::\text{Integer}) \equiv$
 $|\{p \in \text{Personne} \mid p.nom = \text{nomC} \wedge \text{isNew}(p)\}| = 1$
 $\wedge |\{c \in \text{Compte} \mid c.titulaire.nom = \text{nomC}\}| = 1$
 $\wedge \forall c \in \text{Compte}. c.titulaire.nom = \text{nomC} \longrightarrow c.solde = 15$
 $\wedge \text{isNew}(c)$

MOAL: a specification language?

- In the following, we will discuss the

MOAL Language in more detail ...

Syntax and Semantics of MOAL

□ The usual logical language:

- True, False
- negation : $\neg E$,
- or: $E \vee E'$, and: $E \wedge E'$, implies: $E \longrightarrow E'$
- $E = E'$, $E \neq E'$,
- if C then E else E' endif
- let $x = E$ in E'

➤ Quantifiers on sets and lists:

$\forall x \in \text{Set}. P(x)$

$\exists x \in \text{Set}. P(x)$

Syntax and Semantics of MOAL

- MOAL is (like OCL or JML) a typed language.
 - Basic Types:
Boolean, Integer, Real, String
 - Pairs: $X \times Y$
 - Lists: List(X)
 - Sets: Set(X)

Syntax and Semantics of MOAL

- The arithmetic core language.
expressions of type Integer or Real:
 - $1, 2, 3 \dots$ resp. $1.0, 2.3, \text{pi}.$
 - $- E, E + E',$
 - $E * E', E / E',$
 - $\text{abs}(E), E \text{ div } E', E \text{ mod } E' \dots$

Syntax and Semantics of MOAL

- The expressions of type `String`:
 - `S concat S'`
 - `size(S)`
 - `substring(i, j, S)`
 - `'Hello'`

Syntax and Semantics of MOAL Sets

- $| S |$ size as Integer
- $\text{isUnique}(f)(S) \equiv \forall x, y \in S. f(x)=f(y) \rightarrow x=y$
- $\{\}, \{a, b, c\}$ *empty and finite sets*
- $e \in S, e \notin S$ is element, not element
- $S \subseteq S'$ is subset
- $\{x \in S \mid P(S)\}$ filter
- $S \cup S', S \cap S'$ union , intersect
between sets of same type
-
- Integer, Real, String ...
are symbols for the set
of all Integers, Reals, ...

Syntax and Semantics of MOAL Pairs

- (X, Y) pairing
- $\text{fst}(X, Y) = X$ projection
- $\text{snd}(X, Y) = Y$ projection

Syntax and Semantics of MOAL Lists

Lists S have the following operations:

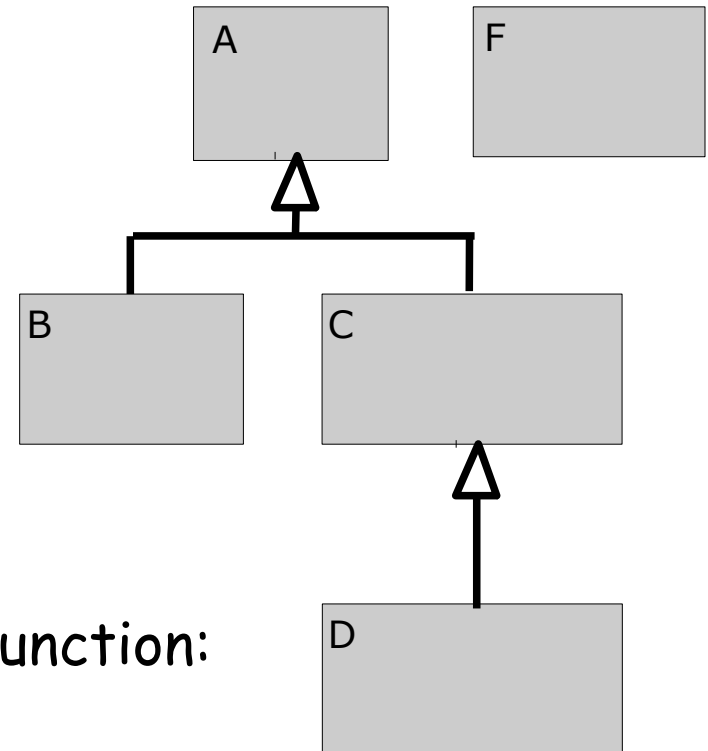
- $x \in L$ -- is element (overload!)
- $|S|$ -- length as Integer
- $\text{head}(L), \text{last}(L)$
- $\text{nth}(L, i)$ -- for i between 0 et $|S| - 1$
- $L@L'$ -- concatenate
- $e\#S$ -- append at the beginning
- $\forall x \in \text{List}. P(x)$ -- quantifiers :
- $[x \in L \mid P(x)]$ -- filter
- Finally, denotations of lists: $[1,2,3], \dots$

Syntax and Semantics of Objects

- ❑ Objects and Classes follow the semantics of UML
 - inheritance / subtyping
 - casting
 - objects have an id
 - NULL is a possible value in each class-type
 - for any class A, we assume a function:

$$A(\sigma)$$

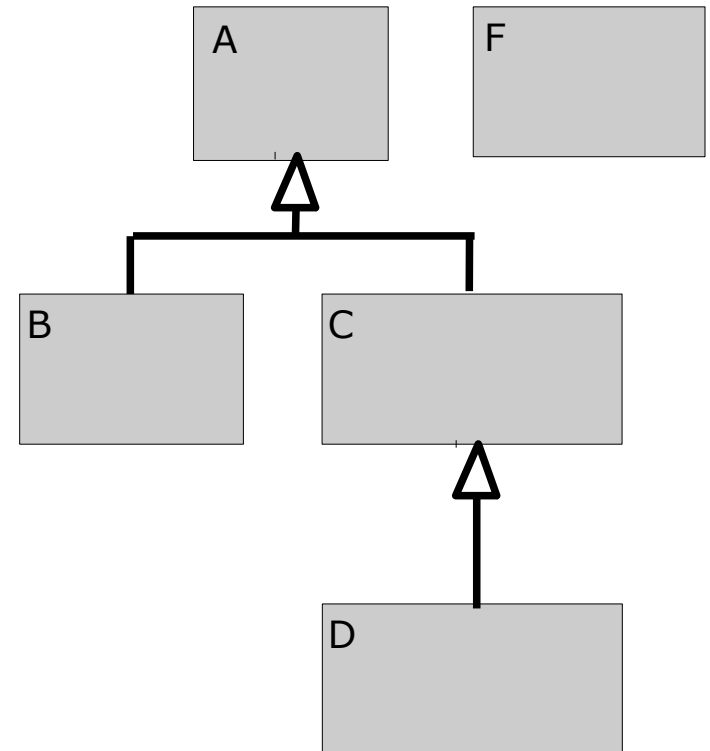
which returns the set of objects of class A in state σ (the « instances » in σ).



Syntax and Semantics of Objects

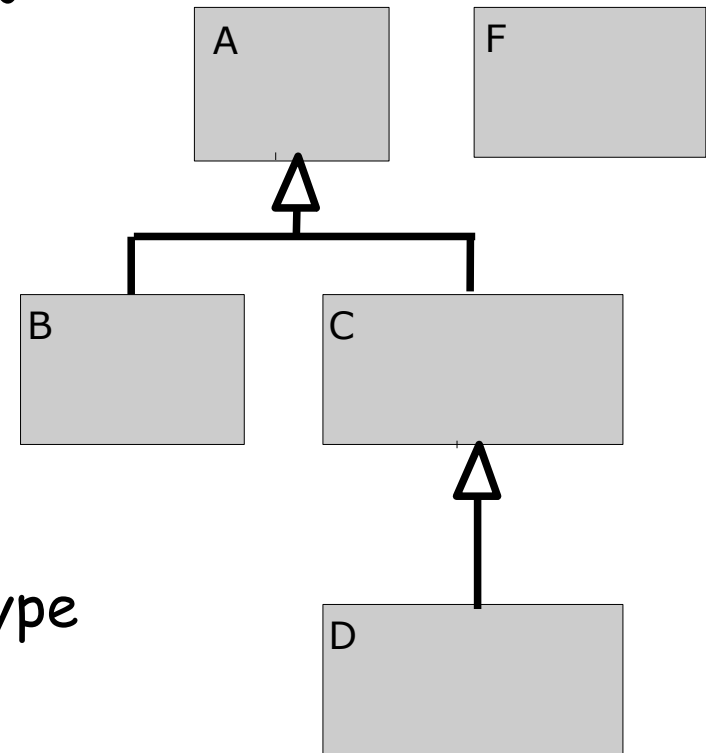
- Objects and Classes follow the semantics of UML

Recall that we will drop the index (σ) whenever it is clear from the context



Syntax and Semantics of Objects

- ❑ As in all typed object-oriented languages casting allows for converting objects.
- ❑ Objects have two types:
 - the « apparent type » (also called static type)
 - the « actual type » (the type in which an object was created)
 - casting changes the apparent type along the class hierarchy, but not the actual type



Syntax and Semantics of Objects

- Assume the creation of objects

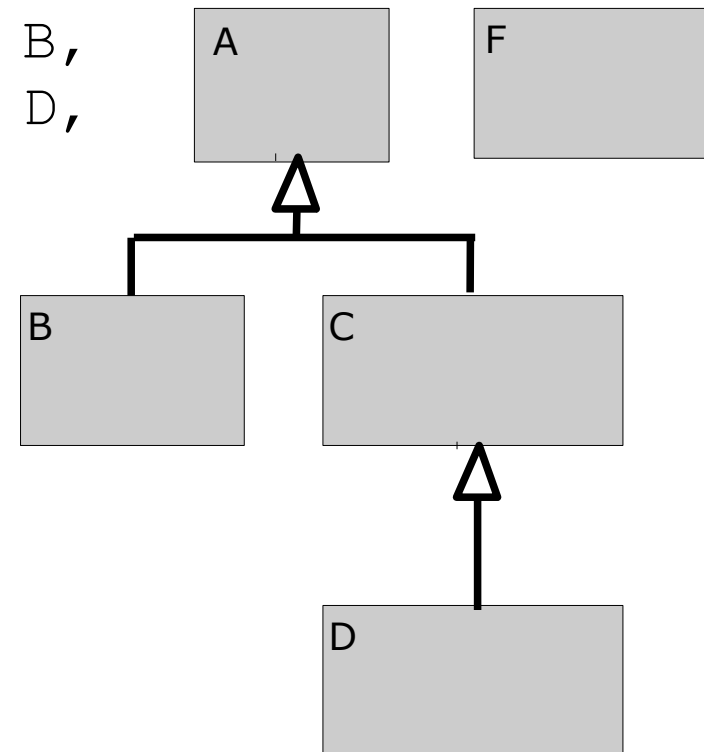
a in class A, b in class B,
c in class C, d in class D,

- Then casting:

`<F>b` is illtyped

`<A>b` has apparent type A,
but actual type B

`<A>d` has apparent type A,
but actual type D



Syntax and Semantics of OCL / UML

- We will also apply cast-operators to an entire set: So

$\langle A \rangle B(\sigma)$ (or just: $\langle A \rangle B$)
is the set of instances
of B casted to A.

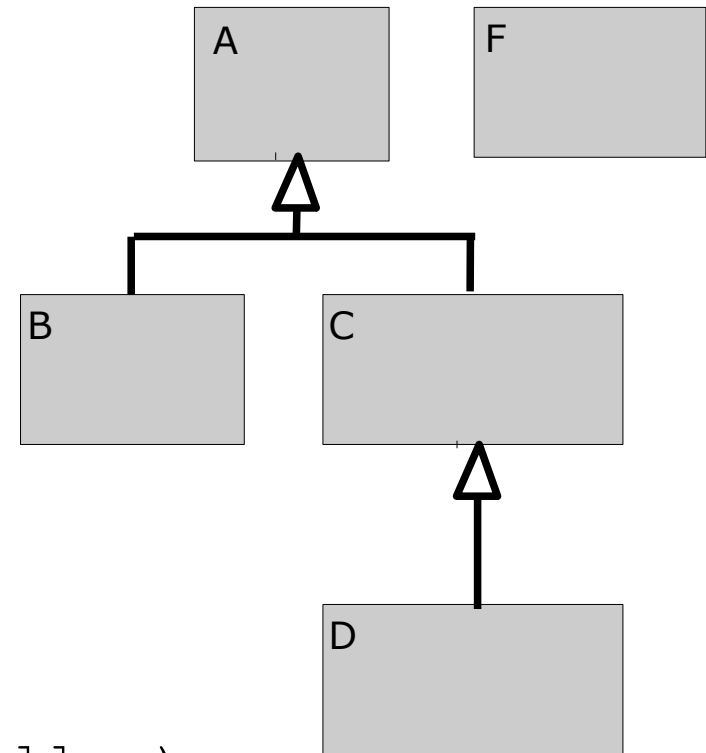
We have:

$$\langle A \rangle B \cup \langle A \rangle C \subseteq A$$

but:

$$\langle A \rangle B \cap \langle A \rangle C = \{ \}$$

and also: $\langle A \rangle D \subseteq A$ (for all σ)



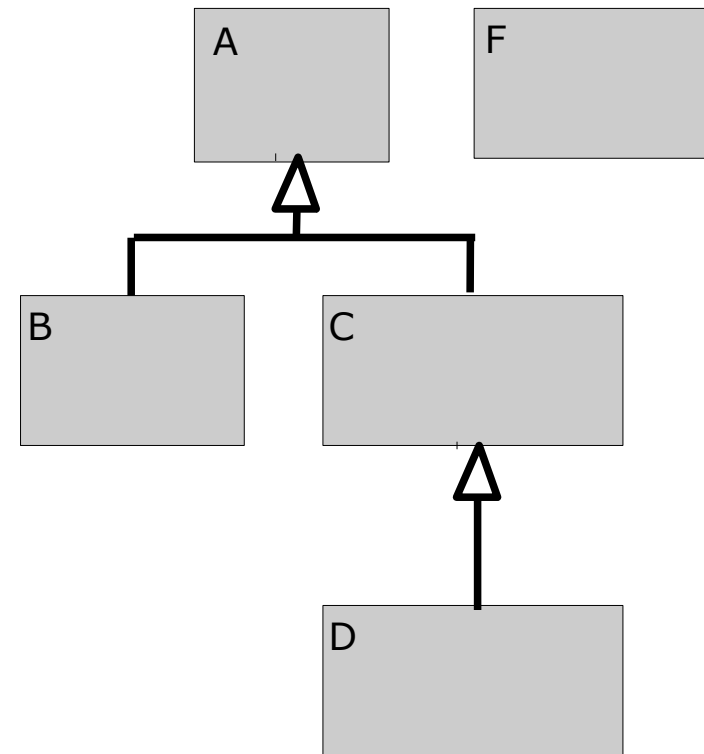
Syntax and Semantics of Objects

- Instance sets can be used to determine the actual type of an object:

$x \in B$

corresponds to Java's `instanceof` or OCL's `isKindOf`. Note that casting does NOT change the actual type:

$\langle A \rangle b \in B$, and $\langle B \rangle \langle A \rangle b = b$!!!

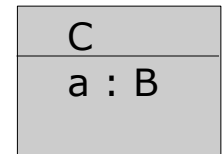
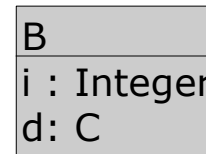


Syntax and Semantics of Objects

- ❑ Summary:
 - there is the concept of **actual** and **apparent** type (anywhere outside of Java: **dynamic** and **static** type)
 - type tests check the former
 - type casts influence the latter, but not the former
 - up-casts possible
 - down-casts invalid
 - consequence:
up-down casts are identities.

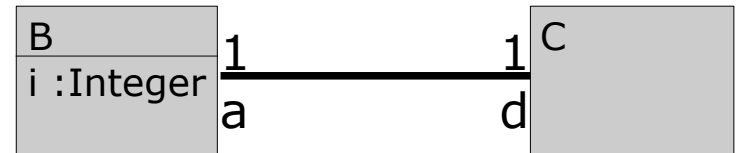
Syntax and Semantics of Object Attributes

- Objects represent structured, typed memory in a state σ . They have **attributes**.



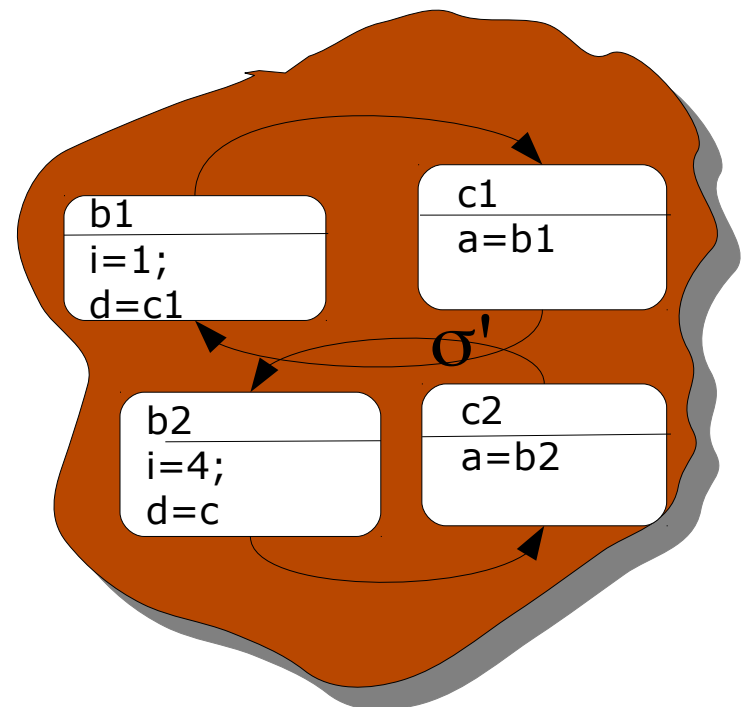
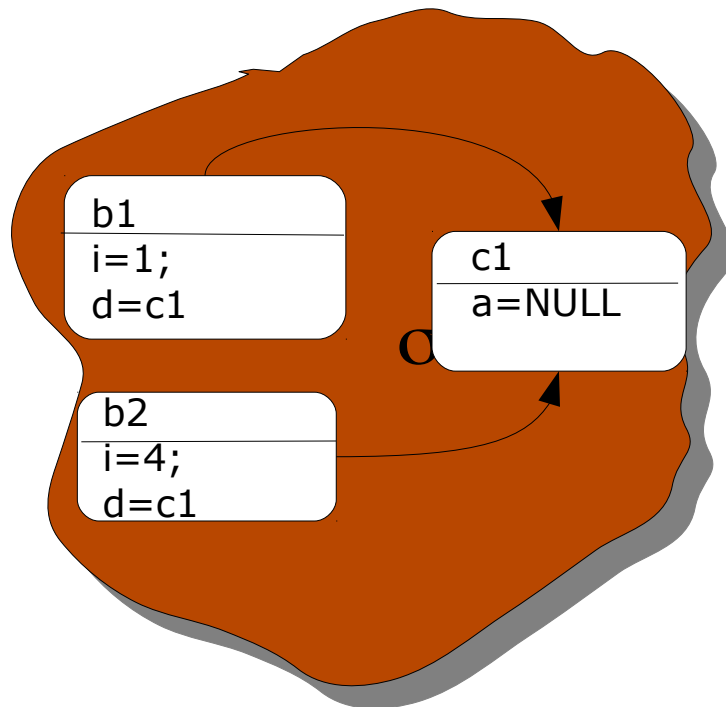
They can have class types.

- Reminder: In class diagrams, this situation is represented traditionally by Associations (equivalent)



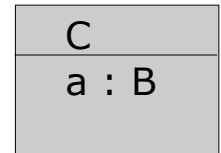
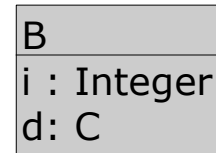
Syntax and Semantics of Object Attributes

- Example:
attributes of class type in states σ' and σ .



Syntax and Semantics of Object Attributes

- each attribute is represented by a function in MOAL. The class diagram right corresponds to declaration of accessor functions:



$.i(\sigma) :: B \rightarrow \text{Integer}$
 $.a(\sigma) :: C \rightarrow B$
 $.d(\sigma) :: B \rightarrow C$

- Applying the σ -convention, this makes navigation expressions possible:

➤ $b1.d :: C$
 $c1.a :: B$ $b1.d.a.d.a \dots$

Syntax and Semantics of Object Attributes

- ❑ Object accessor functions are „dereferentiations of pointers in a state“
- ❑ Accessor functions of class type are

strict wrt. NULL.

➤ $\text{NULL}.d = \text{NULL}$
 $\text{NULL}.a = \text{NULL}$

- Note that navigation expressions depend on their underlying state:

$b1.d(\sigma).a(\sigma).d(\sigma).a(\sigma) = \text{NULL}$
 $b1.d(\sigma_1).a(\sigma_1).d(\sigma_1).a(\sigma_1) = b1 \quad !!!$

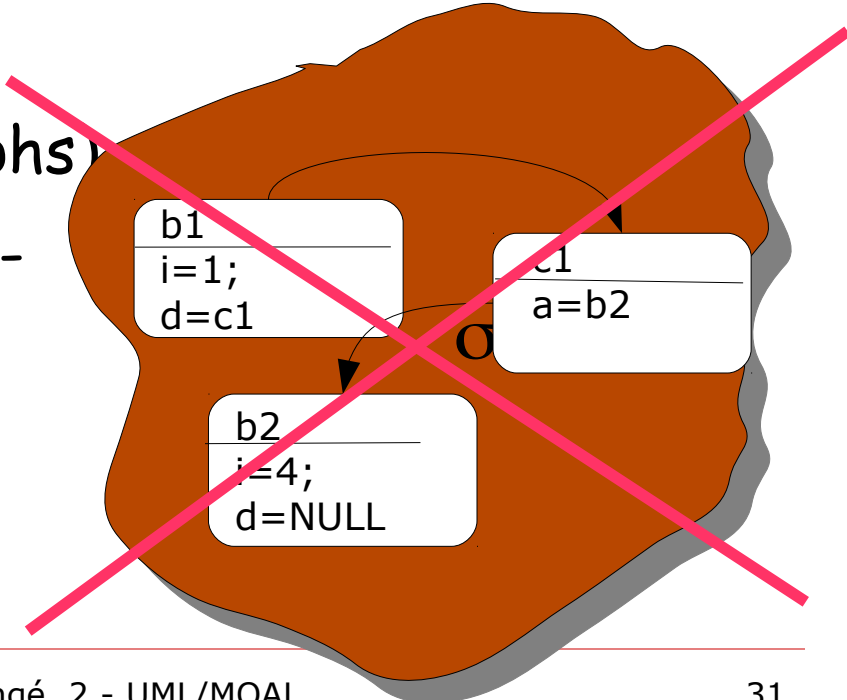
(cf. Object Diagram pp 28)

Syntax and Semantics of Object Attributes

- Note that associations are meant to be « relations » in the mathematical sense.

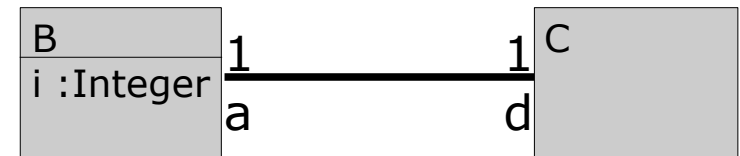


Thus, states (object-graphs) of this form do not represent an association:



Syntax and Semantics of Object Attributes

- This is reflected by 2 « association integrity constraints ».



For the 1-1-case, they are:

➤ definition $ass_{B.d.a} \equiv \forall x \in B. x.d.a = x$

➤ definition $ass_{C.a.d} \equiv \forall x \in C. x.a.d = x$

Syntax and Semantics of Object Attributes

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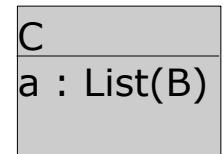
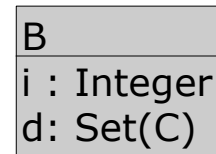
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(cf. Object Diagram pp 28)

Syntax and Semantics of Object Attributes

- Attributes can be List or Sets of class types:



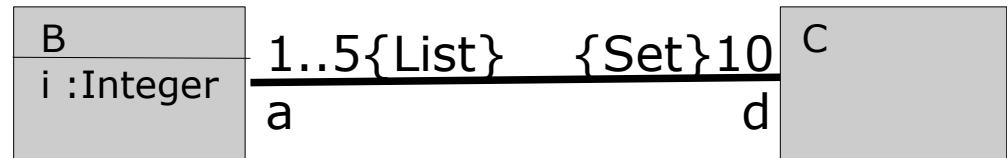
- Reminder: In class diagrams, this situation is represented traditionally by Associations (equivalent)



- In analysis-level Class Diagrams, the type information is still omitted; due to overloading of $\forall_{x \in X}. P(x)$ etc. this will not hamper us to specify ...

Syntax and Semantics of Object Attributes

- Cardinalities in Associations can be translated canonically into MOCL invariants:



- definition $\text{card}_{B.d} \equiv \forall x \in B. |x.d| = 10$
- definition $\text{card}_{C.a} \equiv \forall x \in C. 1 \leq |x.a| \leq 5$

Syntax and Semantics of Object Attributes

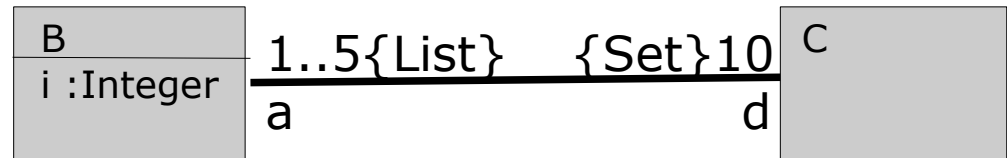
- ❑ Accessor functions are defined as follows for the case of NULL:



- $\text{NULL.d} = \{\}$ -- mapping to the neutral element
- $\text{NULL.a} = []$ -- mapping to the neutral element.

Syntax and Semantics of Object Attributes

- Cardinalities in Associations can be translated canonically into MOCL invariants:

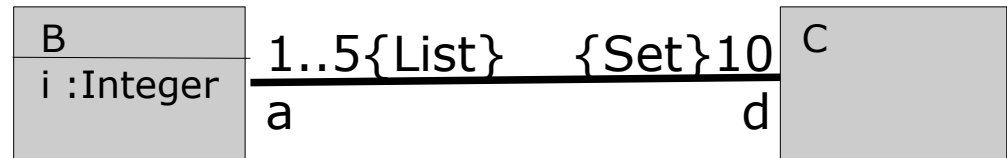


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➤ definition $\text{card}_{C.a} \equiv \forall x \in C. 1 \leq |x.a| \leq 5$

Syntax and Semantics of Object Attributes

- The corresponding association integrity constraints for the *-*-case are:



➤ definition $ass_{B.d.a} \equiv \forall x \in B. x \in x.d.a$

➤ definition $ass_{C.a.d} \equiv \forall x \in C. x \in x.a.d$

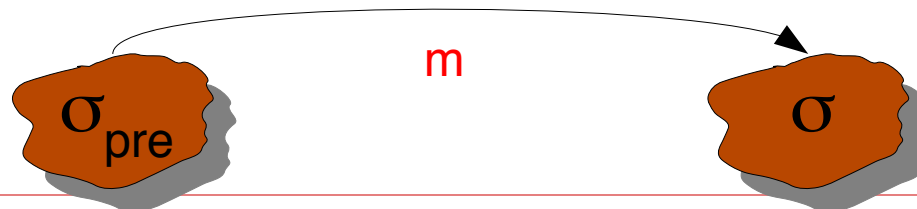
Operations in UML and MOAL

- Many UML diagrams talk over a sequence of states (not just individual global states)

- This appears for the first time in so-called **contracts** for (Class-model) methods:

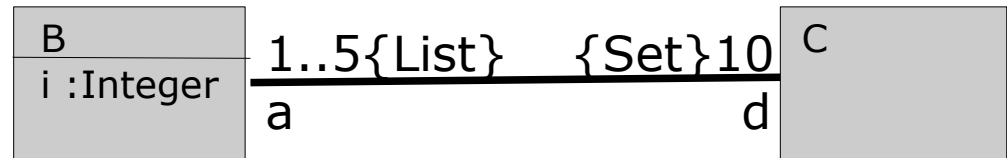
B
i : Integer
m(k:Integer) : Integer

- The « method » **m** can be seen as a « transaction » of a B object transforming the underlying pre-state σ_{pre} in the state « after » **m** yielding a post-state σ .



Syntax and Semantics of Object Attributes

- Cardinalities in Associations can be translated canonically into MOCL invariants:



➤ definition $\text{card}_{B.d} \equiv \forall x \in B. |x.d| = 10$

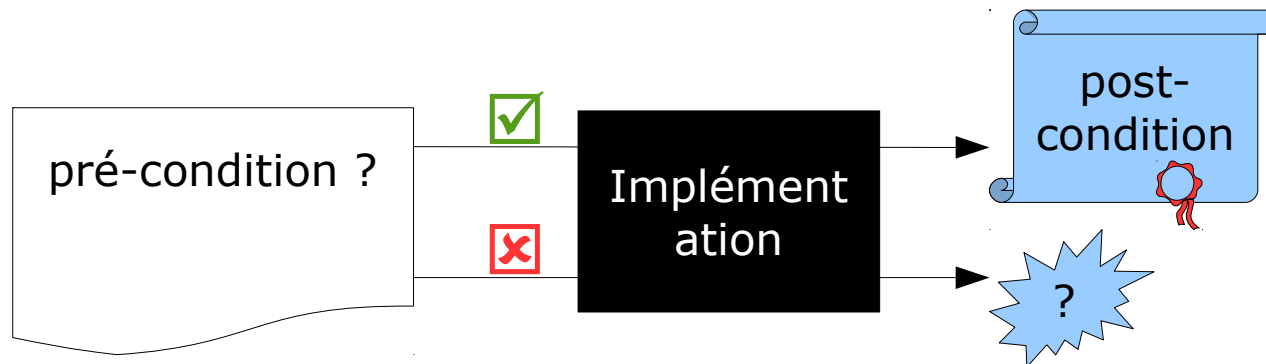
➤ definition $\text{card}_{C.a} \equiv \forall x \in C. 1 \leq |x.a| \leq 5$

Pré et post-conditions (piqué de Delphine !)

Principe de la conception par contrats : contrat entre l'opération appelée et son appelant

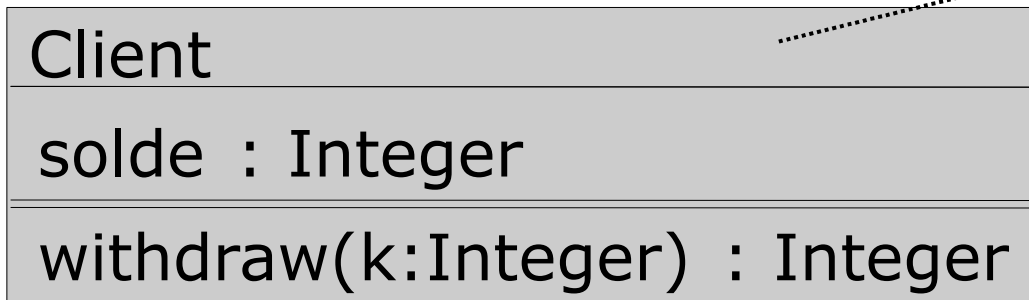
- Appelant responsable d'assurer que la pré-condition est vraie
- Implémentation de l'opération appelée responsable d'assurer la terminaison et la post-condition à la sortie, si la pré-condition est vérifiée à l'entrée

Si la pré-condition n'est pas vérifiée, aucune garantie sur l'exécution de l'opération



Operations in UML and MOAL

- Syntactically, contracts are annotated like this (JML-ish):



withdraw operation:
pre: $\text{old}(b.\text{solde}) - k \geq 0$
post: $b.i = \text{old}(b.\text{solde}) - k$

Operations in UML and MOAL

- ... or like this (OCL-ish):



context c.withdraw(k):
pre: b.solde@pre - k >= 0
post: b.i = b.solde@pre - k

Operations in UML and MOAL Contracts

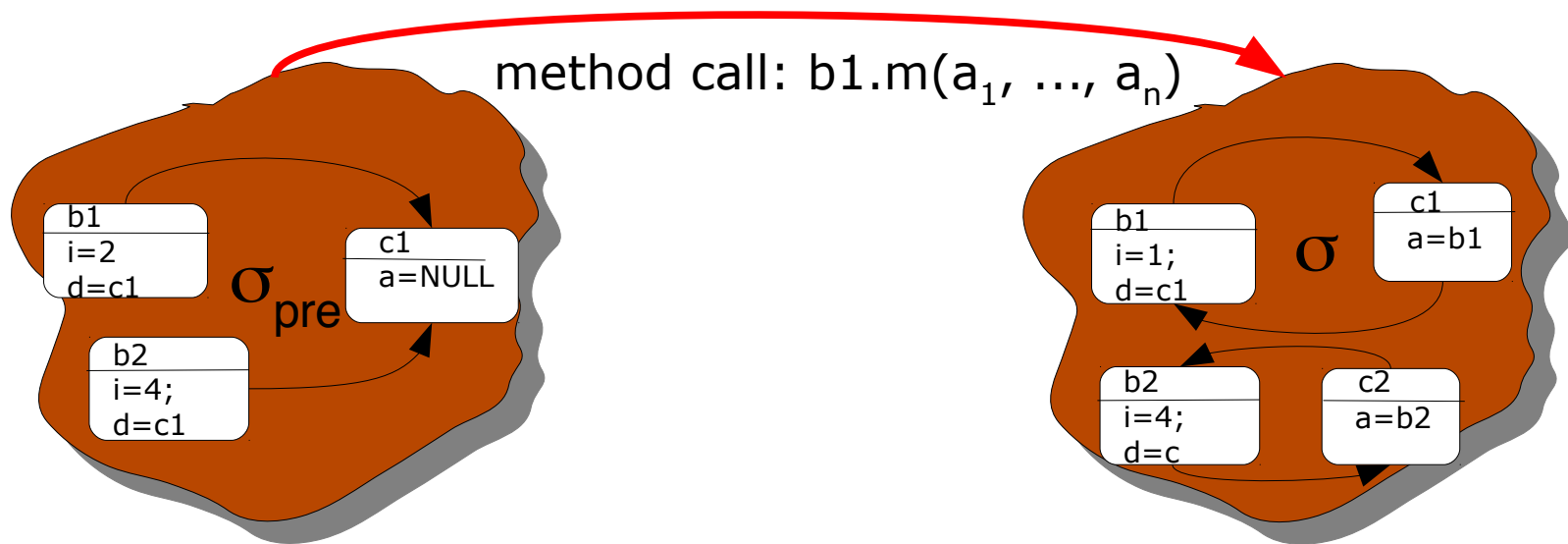
- This appears for the first time in so-called **contracts** for (Class-model) methods:

B
i : Integer
add(k:Integer) : Integer

- The « method » **add** can be seen as a « transaction » of a B object transforming the underlying pre-state σ_{pre} in the state « after » **add** yielding a post-state σ .

Syntax and Semantics of MOAL Contracts

- Again: This is the view of a transaction (like in a data-base), it completely abstracts away intermediate states or time. (This possible in other models/calculi, like the Hoare-calculus, though).



Syntax and Semantics of MOAL Contracts

- Consequence:
 - The pre-condition is a formula referring to the σ_{pre} and the method arguments b_1, a_1, \dots, a_n only.
 - the post-condition is only assured if the pre-condition is satisfied
 - otherwise the method
 - ...may do anything on the state and the result, may even behave correctly, may non-terminate!
 - raise an exception
(recommended in Java Programmer Guides for public methods to increase robustness)

Syntax and Semantics of MOAL Contracts

- Consequence:
 - The post-condition is a formula referring to both σ_{pre} and σ , the method arguments $b1, a_1, \dots, a_n$ and the return value captured by the variable result.
 - any transition is permitted that satisfies the post-condition (provided that the pre-condition is true)

Syntax and Semantics of MOAL Contracts

□ Consequence:

- The semantics of a method call:

$b1.m(a_1, \dots, a_n)$

is thus:

$$\begin{array}{l} \text{pre}_m(b1, a_1, \dots, a_n) (\sigma_{\text{pre}}) \\ \longrightarrow \\ \text{post}_m(b1, a_1, \dots, a_n, \text{result})(\sigma_{\text{pre}}, \sigma) \end{array}$$

- Note that moreover all global class invariants have to be added for both pre-state σ_{pre} and post-state σ !
For an entire transition, the following must hold:

$$\text{Inv}(\sigma_{\text{pre}}) \wedge \text{pre}_m \dots (\sigma_{\text{pre}}) \wedge \text{post} \dots (\sigma_{\text{pre}}, \sigma) \wedge \text{Inv}(\sigma)$$

Syntax and Semantics of MOAL Contracts

Example:

Client

solde : Integer

withdraw(k:Integer) : {ok,nok}

class invariant:
c.solde \geq 0 for all clients c.

operation c.withdraw(k) :
pre: $k \geq 0 \wedge \text{old}(c.\text{solde}) - k \geq 0$
post: $c.\text{solde} = \text{old}(c.\text{solde}) - k$

- definition $\text{inv}_{\text{client}}(\sigma) \equiv \forall c \in \text{Client}(\sigma). 0 \leq c.\text{solde}(\sigma)$
- definition $\text{pre}_{\text{withdraw}}(c, k)(\sigma) \equiv c \in \text{Client}(\sigma) \wedge 0 \leq k \wedge 0 \leq c.\text{solde}(\sigma) - k$
- definition $\text{post}_{\text{withdraw}}(c, k, \text{result})(\sigma_{\text{pre}}, \sigma) \equiv c \in \text{Client}(\sigma_{\text{pre}}) \wedge c.\text{solde}(\sigma) = c.\text{solde}(\sigma_{\text{pre}}) - k \wedge \text{result} = \text{ok}$

Syntax and Semantics of MOAL Contracts

□ Notation:

- In order to relax notation, we will use for applications to σ_{pre} the old-notation:

Client(σ_{pre}) becomes old(Client)

c.solde(σ_{pre}) becomes old(c.solde)

etc.

Syntax and Semantics of MOAL Contracts

Example (revised):

Client

solde : Integer

withdraw(k:Integer) : {ok,nok}

class invariant:
c.solde ≥ 0 for all clients c.

operation c.withdraw(k) :
pre: $k \geq 0 \wedge \text{old}(c.\text{solde}) - k \geq 0$
post: $c.\text{solde} = \text{old}(c.\text{solde}) - k$

- definition $\text{inv}_{\text{Client}} \equiv \forall c \in \text{Client}. 0 \leq c.\text{solde}$
- definition $\text{pre}_{\text{withdraw}}(c, k) \equiv c \in \text{Client} \wedge 0 \leq k \wedge 0 \leq c.\text{solde} - k$
- definition $\text{post}_{\text{withdraw}}(c, k, \text{result}) \equiv c \in \text{old}(\text{Client}) \wedge c.\text{solde} = \text{old}(c.\text{solde}) - k \wedge \text{result} = \text{ok}$

Semantics of MOAL Contracts

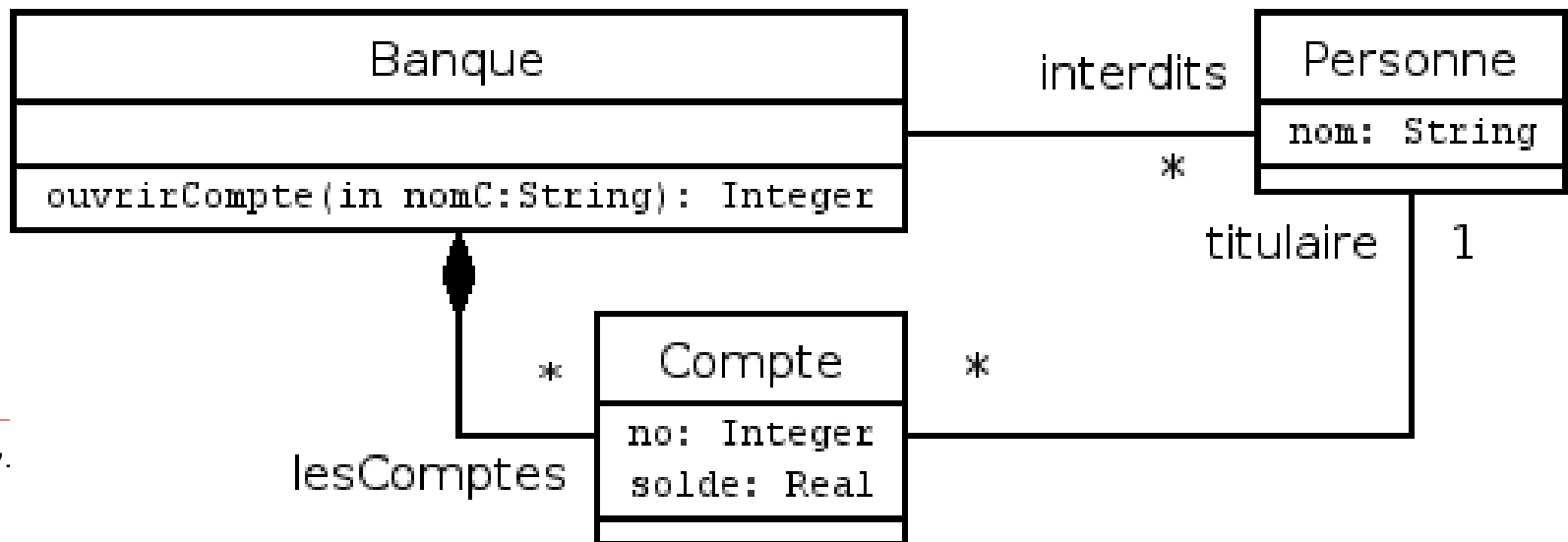
- Two predicates are helpful when defining contracts. They exceptionally refer to both (σ_{pre}, σ)
 - $isNew(p)(\sigma_{pre}, \sigma)$ is true only if object p of class C does not exist in σ_{pre} but exists in σ
 - $modifiesOnly(S)(\sigma_{pre}, \sigma)$ is only true iff
 - all objects in σ_{pre} are **except those in S** identical in σ
 - all objects in σ exist either in σ_{pre} or are contained in S

With this predicate, one can express : „and nothing else changes“. It is also called «framing condition».

A Revision of the Example: Bank

Opening a bank account. Constraints:

- ❑ there is a blacklist
- ❑ no more overdraft than 200 EUR
- ❑ there is a present of 15 euros in the initial account
- ❑ account numbers must be distinct.

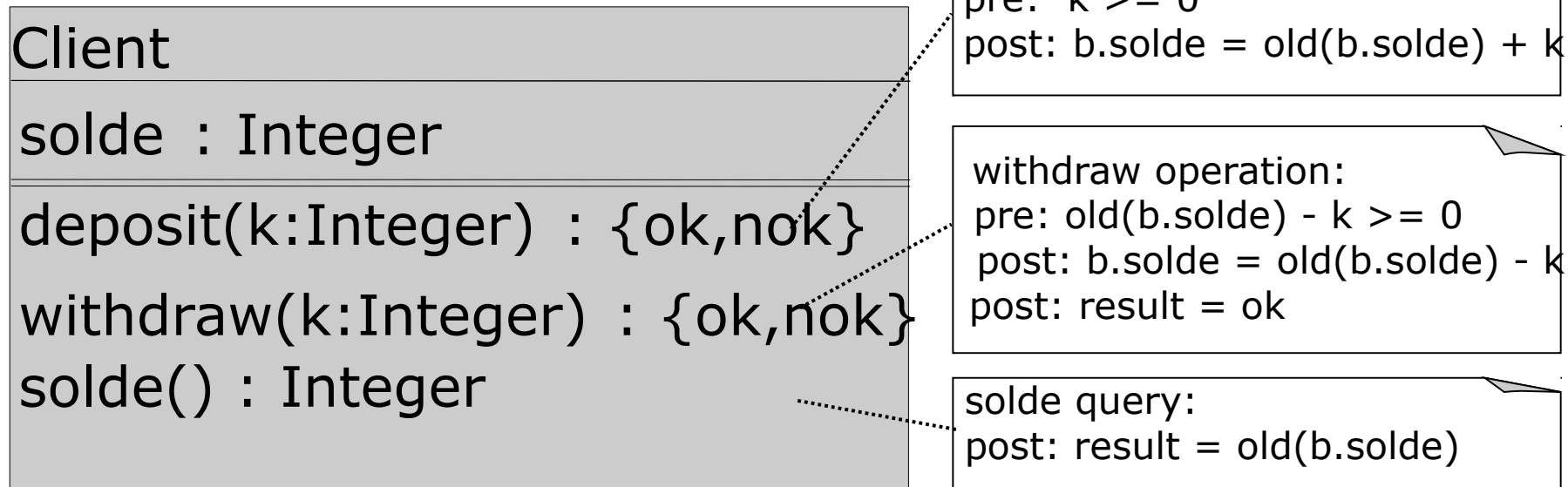


A Revision of the Example: Bank (2)

- **definition** $\text{pre}_{\text{ouvrirCompte}}(b:\text{Banque}, \text{nomC}:\text{String}) \equiv$
 $\forall p \in \text{Personne}. p.\text{nom} \neq \text{nomC}$
- definition** $\text{post}_{\text{ouvrirCompte}}(b:\text{Banque}, \text{nomC}:\text{String}, r:\text{Integer}) \equiv$
 $|\{p \in \text{Personne} \mid p.\text{nom} = \text{nomC}\}| = 1$
 $\wedge \forall p \in \text{Personne}. p.\text{nom} = \text{nomC} \rightarrow \text{isNew}(p)$
 $\wedge |\{c \in \text{Compte} \mid c.\text{titulaire}.\text{nom} = \text{nomC}\}| = 1$
 $\wedge \forall c \in \text{Compte}. c.\text{titulaire}.\text{nom} = \text{nomC} \rightarrow c.\text{solde} = 15$
 $\wedge \text{isNew}(c)$
 $\wedge b.\text{lesComptes} = \text{old}(b.\text{lesComptes}) \cup$
 $\{c \in \text{Compte} \mid c.\text{titulaire}.\text{nom} = \text{nomC}\}$
 $\wedge b.\text{interdits} = \text{old}(b.\text{interdits}) \cup$
 $\{c \in \text{Compte} \mid c.\text{titulaire}.\text{nom} = \text{nomC}\}$
 $\wedge \text{modifiesOnly}(\{b\} \cup \{c \in \text{Compte} \mid c.\text{titulaire}.\text{nom} = \text{nomC}\}$
 $\cup \{p \in \text{Personne} \mid p.\text{nom} = \text{nomC}\})$

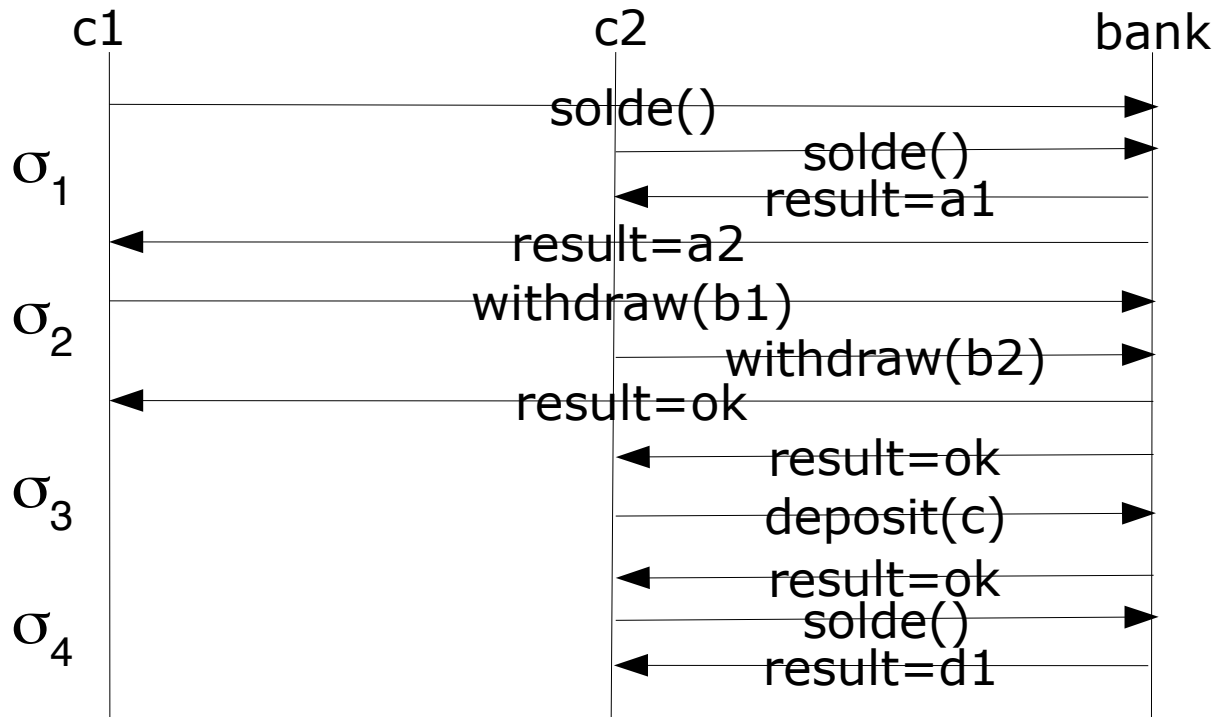
Operations in UML and MOAL

□ Example:



Operations in UML and MOAL

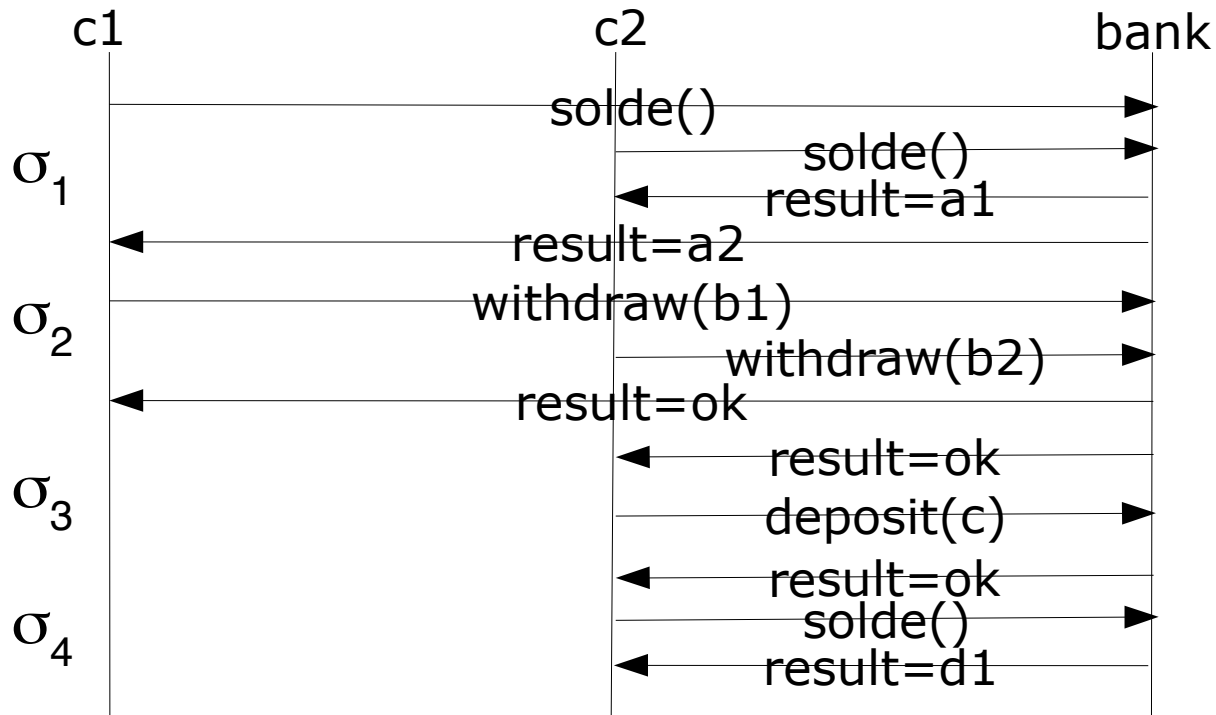
Abstract Concurrent Test Scenario:



assert $c1.solde(\sigma_4)=a2-b1 \wedge b1 \geq 0 \wedge a2 \geq b1$

Operations in UML and MOAL

Abstract Concurrent Test Scenario:



Any instance of b1 and a1 is a test ! This is a „Test Schema“ !
Note: b1 can be chosen dynamically during the test !

Summary

- ❑ MOAL makes the UML to a real, formal specification language
- ❑ MOAL can be used to annotate Class Models, Sequence Diagrams and State Machines
- ❑ Working out, making explicit the constraints of these Diagrams is an important technique in the transition from Analysis documents to Designs.